

**PATENT**

ATTORNEY DOCKET N°: ASA 02-3-2  
EXPRESS MAIL LABEL N°: EV 303 409 509 US

**NONPROVISIONAL PATENT APPLICATION TRANSMITTAL LETTER**

MAIL STOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Transmitted herewith for filing is the patent application of:

INVENTOR: A. L. Pepper Aasgaard  
3623 Armbrust Drive  
Omaha, NE 68124  
Citizen of United States

Title: **Emergency Signaling Device**

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**CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this Nonprovisional Patent Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date, November 20, 2003 in an envelope as "Express Mail Post Office to Addressee", Mailing Label N° EV 303 409 509 US, with sufficient postage, addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ReNea D. Berggren

DATED: November 20, 2003

**1. Type of Application**

This is a Nonprovisional Application.

**2. Benefit of Prior U.S. Application(s) (35 U.S.C. § 119(e) )**

<u>USPSN</u>	<u>Filing Date</u>	<u>Inventor(s)</u>	<u>Status</u>
60/428,048	11/20/2002	Aasgaard	Pending

31281 U.S. PTO  
10/718177



**3. Papers Enclosed That Are Required for Filing Date under 37 C.F.R. §1.53(b) (Regular) or 37 C.F.R. §1.153 (Design) Application**

Eleven (11) Pages of Specification;

Six (6) Pages of Claims;

One (1) Page of Abstract; and

Four (4) Sheets of Drawing Figures.

**4. Additional Papers Enclosed**

Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) is attached.

**5. Declaration or Oath**

Signed Declaration and Power of Attorney is attached.

**6. Inventorship Statement**

The inventorship for all the claims in this application are the same.

**7. Language**

English.

**8. Assignment**

None.

**9. Certified Copy**

None are required.

**10. Fee Calculation (37 C.F.R. §1.16)**

**BASIC FEE**

Standard Fee		\$385.00				\$385.00
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**EXCESS CLAIM FEE**

TOTAL OVER TWENTY	36	-20	0	16	\$9.00	\$144.00
INDEPENDENT OVER THREE	4	-3	0	1	\$43.00	\$43.00
MULTIPLE DEPENDENT					\$145	\$0.00
ASSIGNMENT RECORDATION FEE					\$40.00	\$0.00
<b>TOTAL FILING FEES</b>						<b><u>\$572.00</u></b>

11. **Small Entity Status**-Applicant specifically requests small entity status under 37 C.F.R. §1.27.
12. **Request for International - Type Search (37 C.F.R. §1.104(d))**  
None required.
13. **Authorization to Charge Fees**

The Commissioner is hereby authorized to charge the filing fees of **\$572.00** to Deposit Account Nº 19-4882. Please charge any underpayments related to this filing or credit any excess to Deposit Account Nº 19-4882. A copy of this *Transmittal* is enclosed for accounting purposes only.

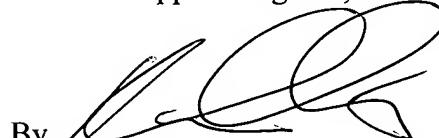
Please direct all correspondence and telephone calls to:

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DATED: November 20, 2003.

Respectfully submitted,

A. L. Pepper Aasgaard,



By  
Kevin E. West  
Reg. Nº 43,983

**This Transmittal Ends With This Page.**

**PATENT**  
Attorney Docket N<sup>o</sup>: ASA 02-3-2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

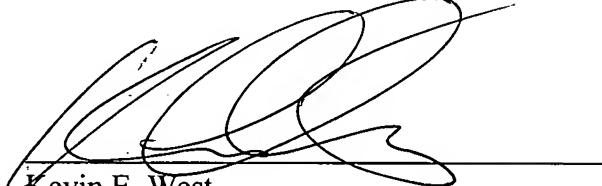
In re Application of : A. L. Pepper Aasgaard  
Express Mail N<sup>o</sup> : EV 303 409 509 US  
Filed : November 20, 2003  
For : **Emergency Signaling Device**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Dated: November 20, 2003



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Kevin E. West  
Reg. N<sup>o</sup> 43,983  
**CUSTOMER NO. 23531**

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**